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Sheet 1 of 2

FORM PTO-1449		US Dept. of Commerce Patent and Trademark Office		ATTORNEY DOCKET NO. 4121-133		SERIAL NO. 10/031,505	
INFORMATION DISCLOSURE STATEMENT (use several sheets if necessary)				APPLICANT Klaus Braun, et al.			
				FILING DATE January 15, 2002		GROUP Not Yet Assigned	
U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		PATENT NUMBER	ISSUE DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	PUBLICATION DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
JR	A	2 786 397	06/02/2000	France	38	16	X
JR	B	2 787 793	06/30/2000	France	5	87	X
JR	C	WO 97/12912	04/10/1997	WIPO	14	47	X
JR	D	WO 00/01417	01/13/2000	WIPO	47	48	X
JR	E	WO 00/58488	10/05/2000	WIPO	15	87	X
OTHER DOCUMENTS (Including Author, Title, Journal-Date, Page Number, Etc.)							
JR	F	Pietersz, Geoffrey A., Li, Wenjun, Apostolopoulos, Vasso 2001. A 16-mer peptide (RQKIWFQNRMRKWK) from antennapedia preferentially targets the Class I pathway. Vaccine 19:1397-1405.					
JR	G	Mi, Zhibao, Mai, Jeffrey, Lu, Xiaoli, & Robbins, Paul D. 2000. Characterization of a Class of Cationic Peptides Able to Facilitate Efficient Protein Transduction <i>in Vitro</i> and <i>in Vivo</i> . Molecular Therapy, Vol. 2, No. 4: 339-347.					
JR	H	Derossi, Daniele, Chassaing, Gerard & Prochiantz, Alain 1998. Trojan peptides: the penetratin system for intracellular delivery. Trends in CELL BIOLOGY Vol. 8: 84-86.					
JR	I	Rousselle, Christophe, Clair, Philippe, Lefauconnier, Jeanne-Marie, Kaczorek, Michel, Scherrmann, Jean-Michel & Tamsamani, Jamal 2000. New Advances in the Transport of Doxorubicin through the Blood-Brain Barrier by a Peptide Vector-Mediated Strategy. MOLECULAR PHARMACOLOGY, 57:679-686.					
JR	J	Pooga, Margus, Soomets, Ursel, Hällbrink, Mattias, Valkna, Andres, Saar, Külliki, Rezaei, Khadijeh, Kahl, Ulrika, Hao, Jing-Xia, Xu, Xiao-Jun, Wiensfeld-Hallin, Zsuzsanna, Hökfelt, Tomas, Bartfai, Tamas, Langel, Ülo 1998. Cell penetrating PNA constructs regulate galanin receptor levels and modify pain transmission <i>in vivo</i> . NATURE BIOTECHNOLOGY Vol. 16: 857-861.					
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Continue on Page 2							
EXAMINER Jeffrey E. Russel					DATE CONSIDERED November 13, 2003		
EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.							

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